



Specification-Driven Generation of Summaries for Symbolic Execution

Rafael Gonçalves, Frederico Ramos, Pedro Adão, José Fragoso Santos

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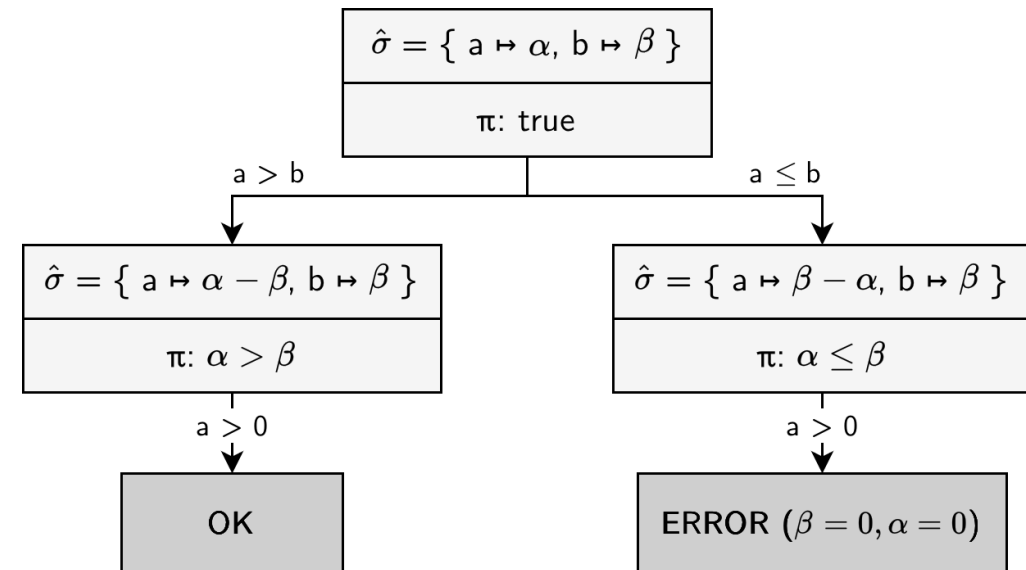
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Symbolic Execution (SE)

- Execute programs with **symbolic values** instead of concrete values

```
1 void foo(int a, int b) {  
2   if (a > b) {  
3     a = a - b;  
4   } else {  
5     a = b - a;  
6   }  
7   assert(a > 0);  
8 }
```



Many success stories!



angr



...

Challenges

- Interactions with the runtime environment

```
printf("%s\n", foo);
```

```
scanf("%s", &bar);
```

- Path explosion

```
if (a > b) {  
    if (a > c) { ... }  
}
```

```
while (i < j) {  
    ...  
}
```

Challenges

- Interactions with the runtime environment

```
printf("%s\n", foo);          scanf("%s", &bar);
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- Path explosion

```
if (a > b) {  
    if (a > c) { ... }  
}
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```
while (i < j) {  
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Challenges

- Interactions with the runtime environment

```
printf("%s\n", foo);          scanf("%s", &bar);
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if (a > b) {  
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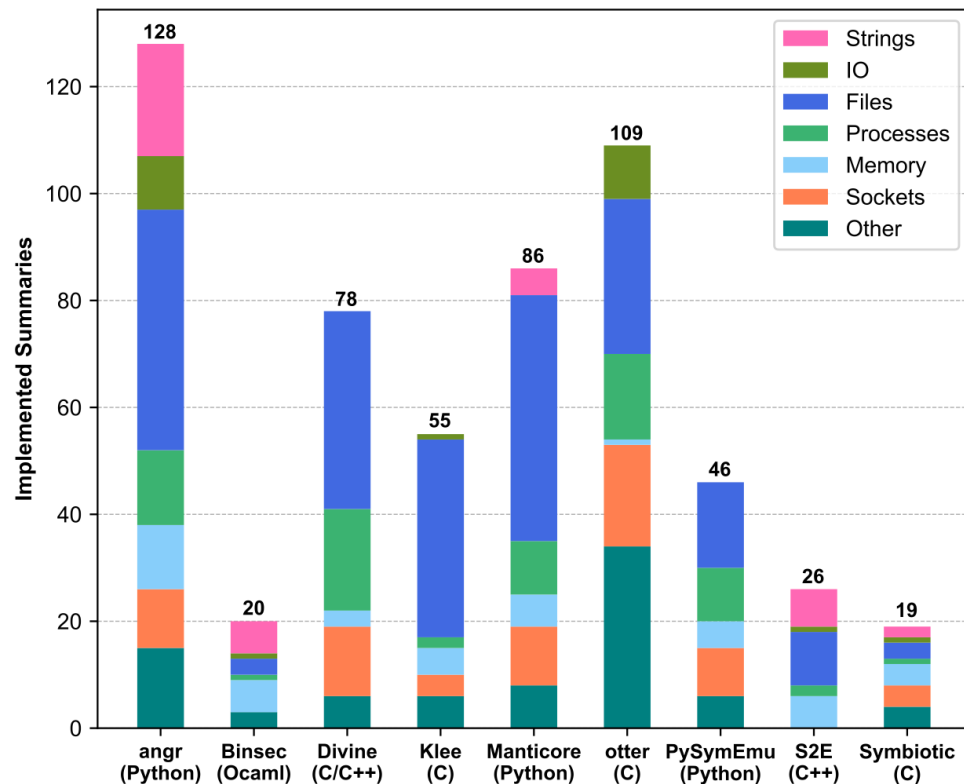
Why summaries?

- Model SE of concrete functions by directly interacting with the symbolic state

Avoid path explosion!

Why summaries?

- Model SE of concrete functions by directly interacting with the symbolic state
- Summaries are widely adopted...



But...

Summaries are often buggy!

And in general, hard to get right

```
1 def strcmp(s1, s2):
2     zero_idx1 = api.find_zero(s1)
3     zero_idx2 = api.find_zero(s2)
4     min_zero_idx = min(zero_idx1, zero_idx2)
5
6     ret = s1[min_zero_idx] - s2[min_zero_idx]
7
8     for i in range(min_zero_idx - 1, -1, -1):
9         c1 = s1[i]
10        c2 = s2[i]
11
12        if api.is_symb(c1) or api.is_symb(c2):
13            cnstr = c1 != c2
14            ret = api.mk_ite(cnstr, c1 - c2, ret)
15
16        elif c1 != c2:
17            ret = c1 - c2
18
19    return ret
```

Manticore. strcmp summary. <https://github.com/trailofbits/manticore/blob/master/manticore/native/models.py#L99>

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```

strcmp("dog", "dog")
0

s1 == s2

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```

strcmp("dog", "dog")
0

strcmp("dog", "cat")
1

s1 > s2

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$\hat{s}_1 \mapsto \begin{array}{|c|c|} \hline \hat{c}_1 & \hat{c}_2 \\ \hline \end{array}$ $\hat{s}_2 \mapsto \begin{array}{|c|c|} \hline \hat{c}_3 & \hat{c}_4 \\ \hline \end{array}$ $\hat{c}_1 \dots \hat{c}_4$ symbolic

$\text{strcmp}(\hat{s}_1, \hat{s}_2)$
 $\text{ITE}(\hat{c}_1 \neq \hat{c}_3, \hat{c}_1 - \hat{c}_3, \text{ITE}(\hat{c}_2 \neq \hat{c}_4, \hat{c}_2 - \hat{c}_4, 0))$

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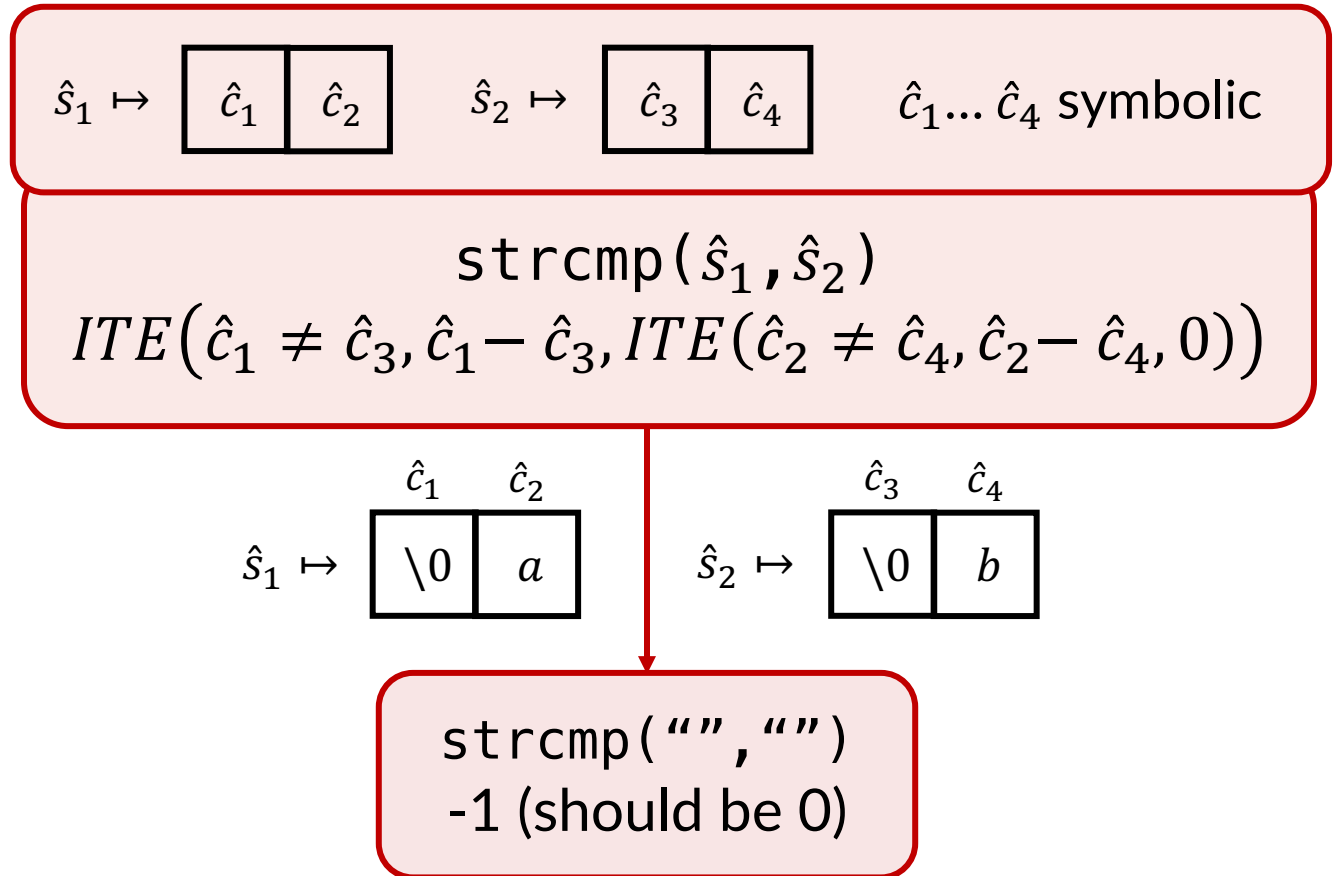
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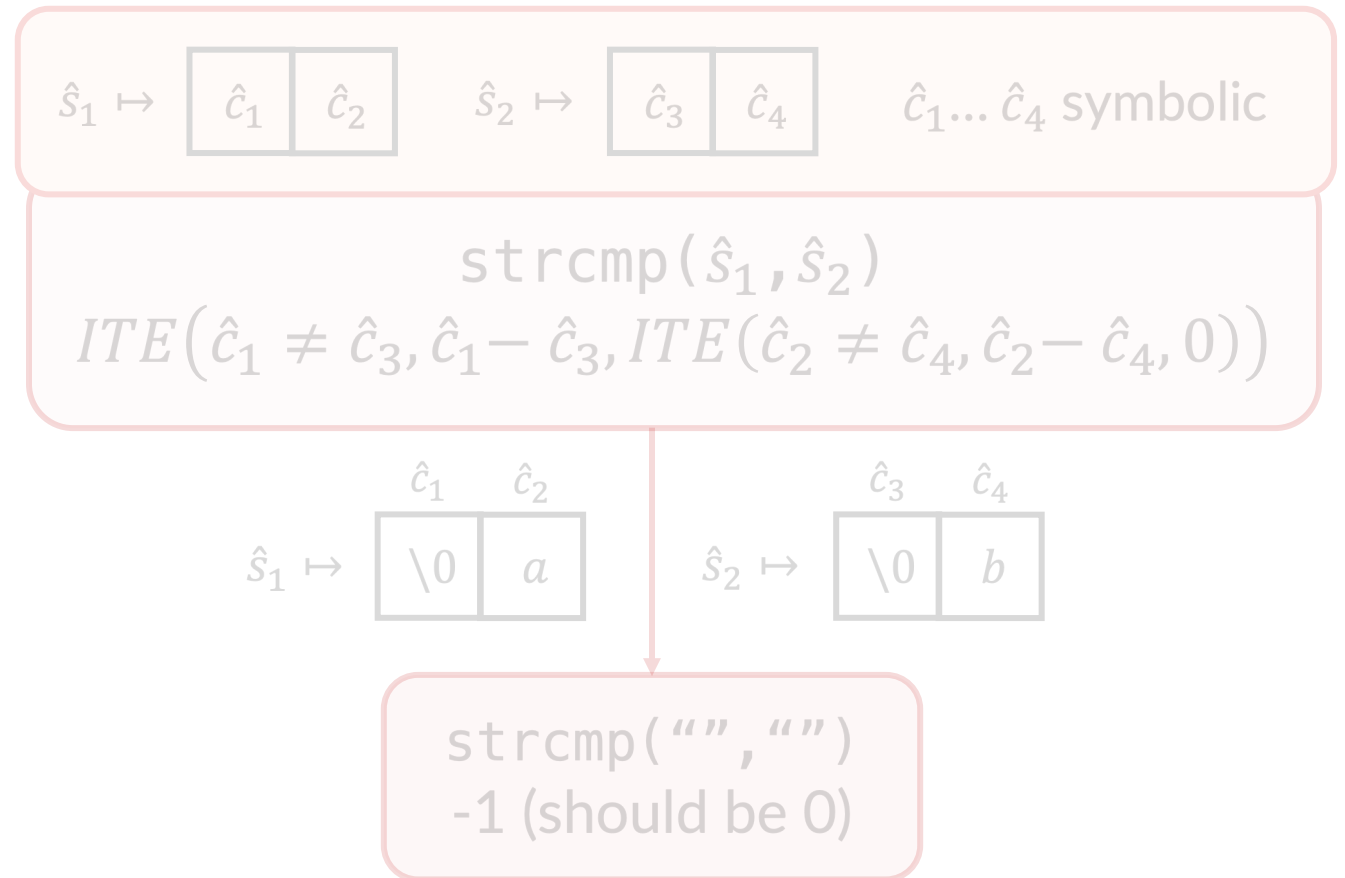


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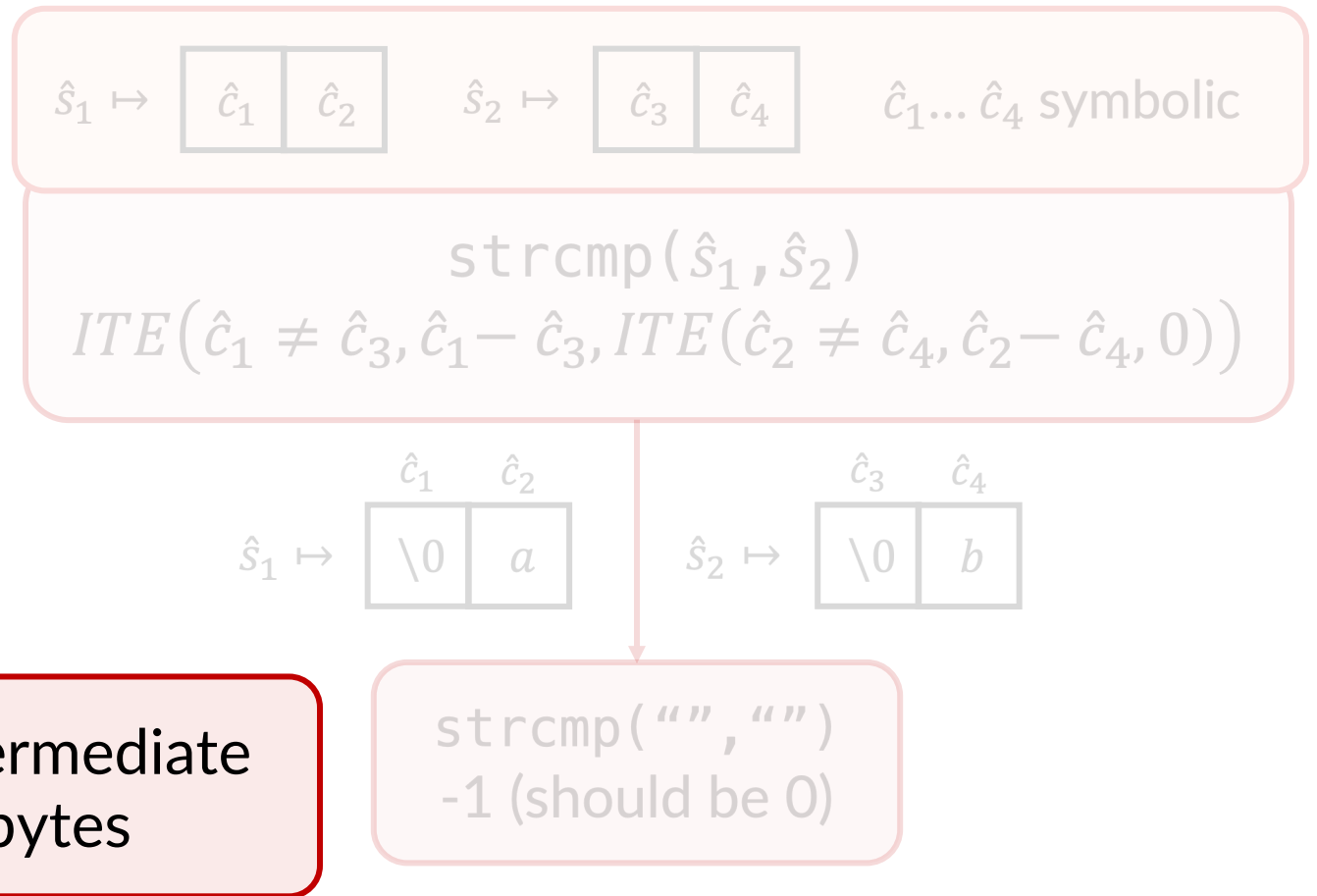


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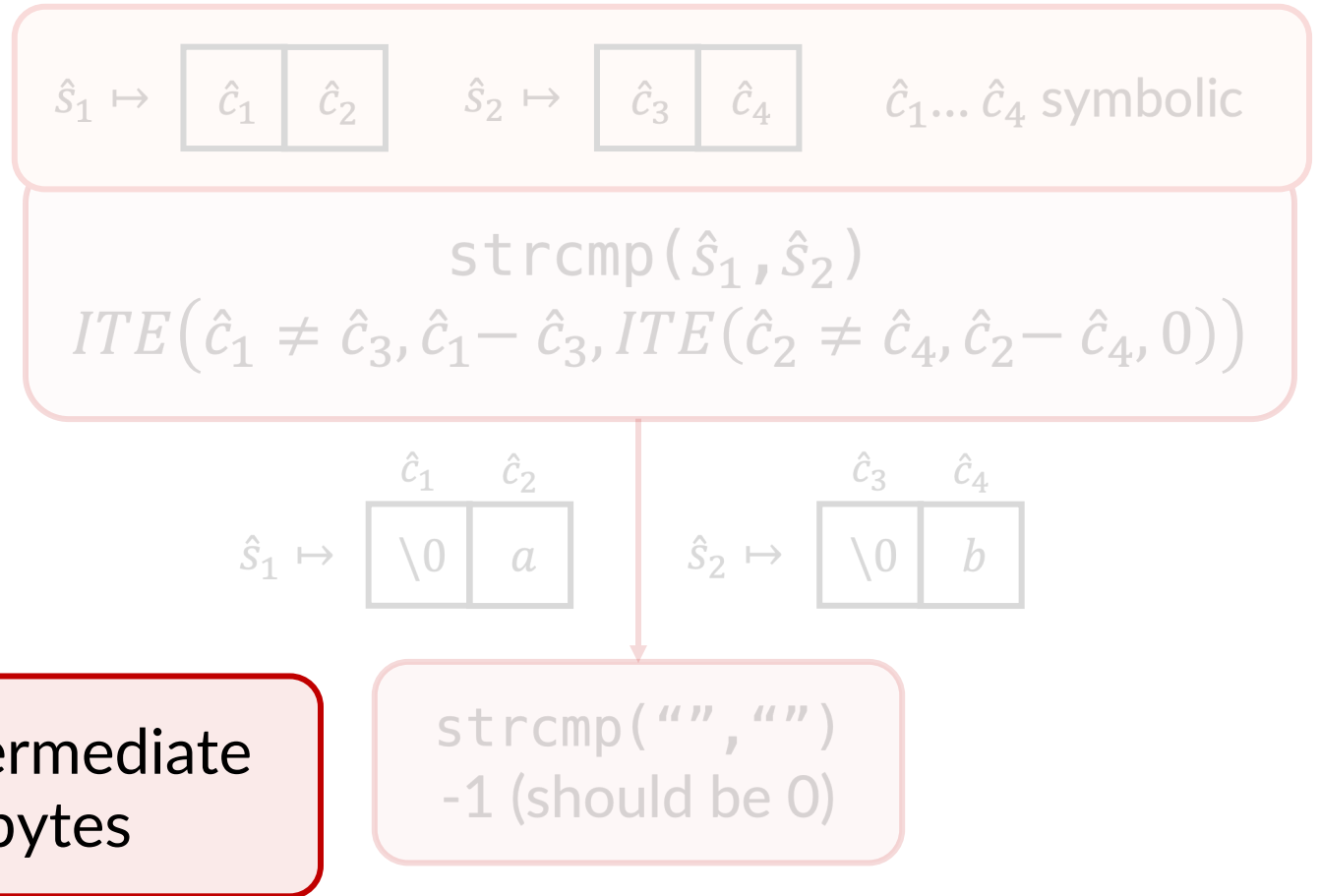
Not stopping at intermediate
(symbolic) null bytes

Manticore. strcmp summary. <https://github.com/trailofbits/manticore/blob/master/manticore/native/models.py#L99>

Summaries are often buggy (in a subtle way)!

And in general, hard to get right

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Pipeline at a glance

Goal: Automatically generate **tool-independent, correct-by-construction** summaries

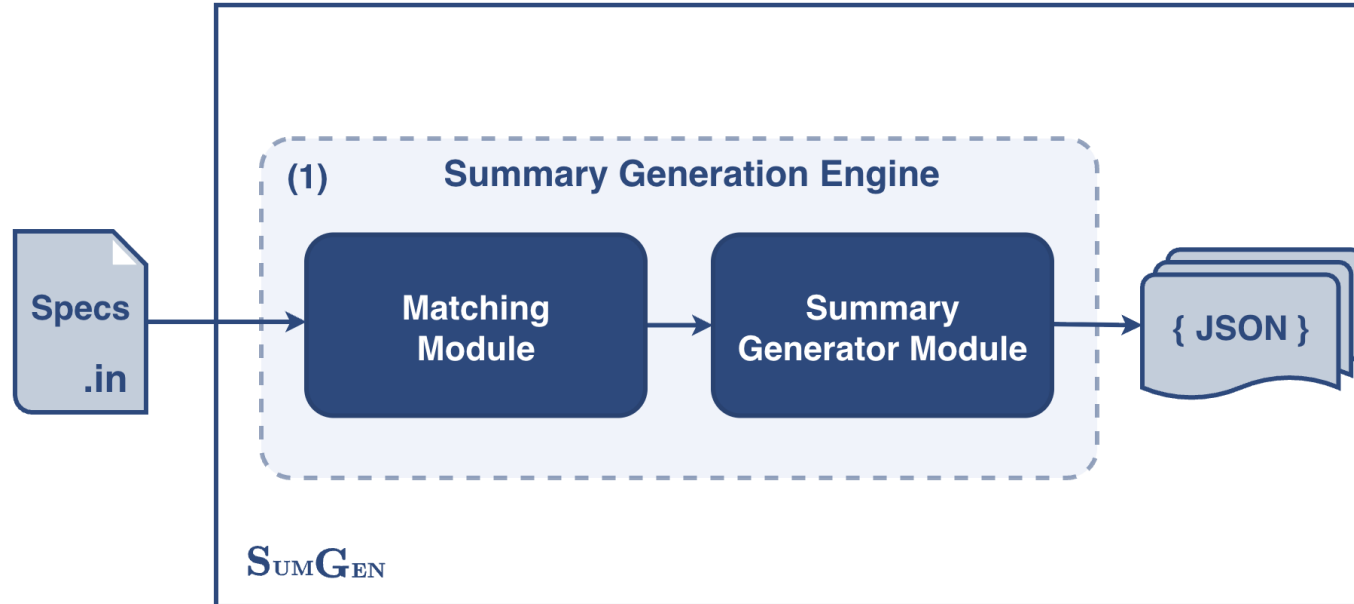
Pipeline at a glance

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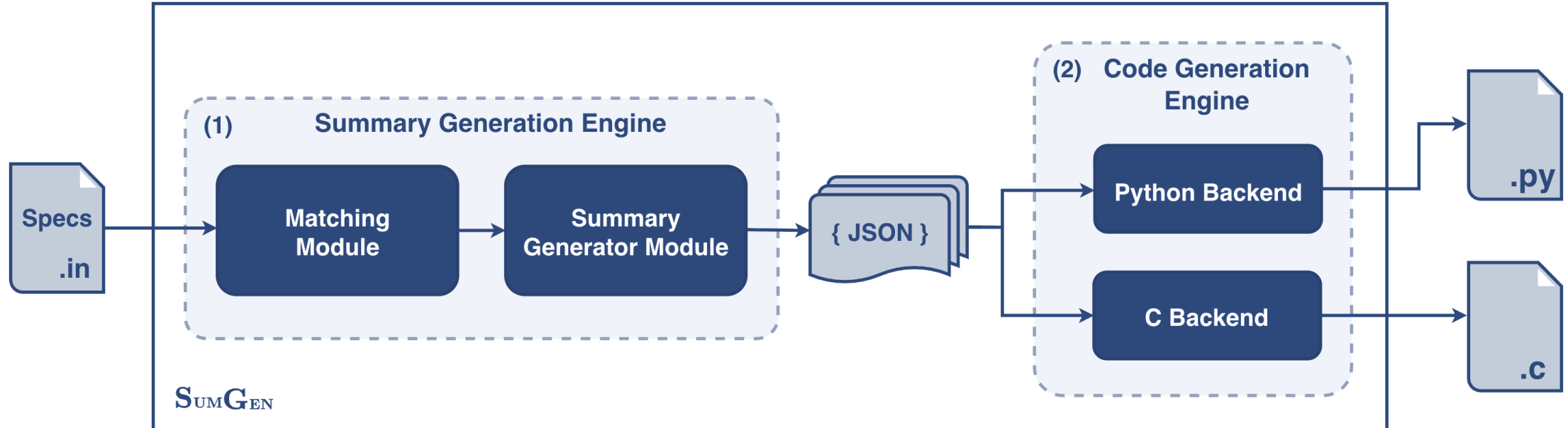
Pipeline at a glance

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Pipeline at a glance

Goal: Automatically generate **tool-independent, correct-by-construction** summaries



A running example: strcmp

Step 0:



A running example: strcmp

Step 0:



Prelim: What is the “difference” (δ) between two strings $s1$ and $s2$?

$\text{strd}(s1, s2, \delta) \triangleq$

$s1 \mapsto c1 \uplus s2 \mapsto c2 \uplus (c1 = '\0' \vee c2 = '\0' \vee c1 \neq c2) \uplus \delta = c1 - c2$

\vee

$s1 \mapsto c1 \uplus s2 \mapsto c2 \uplus (c1 \neq '\0' \wedge c2 \neq '\0' \wedge c1 = c2) \uplus \text{strd}(s1 + 1, s2 + 1, \delta)$

A running example: strcmp

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(Base)

\vee

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A running example: strcmp

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$s1 \mapsto c1 \uplus s2 \mapsto c2 \uplus (c1 \neq '\0' \wedge c2 \neq '\0' \wedge c1 = c2) \uplus \text{strd}(s1 + 1, s2 + 1, \delta)$ **(Recursive)**

A running example: strcmp

Step 0:



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Overlapping conjunction

A running example: strcmp

Step 0:



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Then: If $s1 - s2 = \delta$, $\text{strcmp}(s1, s2)$ returns δ

$\{\text{strd}(s1, s2, \delta)\}$ `int strcmp(char * s1, char * s2) {ret = δ }`

Generating a summary for strcmp

$\{\text{strd}(s1, s2, \delta)\}$ `int strcmp(char * s1, char * s2) {ret = δ }`

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Goal: Compute the return value (δ)

Generating a summary for strcmp

$\{\text{strd}(s1, s2, \delta)\}$ `int strcmp(char * s1, char * s2) {ret = δ }`

Goal: Compute the return value (δ)

```
fn strcmp(s1, s2) {  
     $\delta \leftarrow ?$   
    return  $\delta$   
}
```

Generating a summary for strcmp

$\{\text{strd}(s1, s2, \delta)\}$ int strcmp(char * s1, char * s2) {ret = δ }

Goal: Compute the return value (δ)

```
fn strcmp(s1, s2) {  
     $\delta \leftarrow \text{fold}_{\text{strd}}(s1, s2);$   
    return  $\delta$   
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Generating a summary for strcmp

$\{\text{strd}(s1, s2, \delta)\}$ `int strcmp(char * s1, char * s2) {ret = δ }`

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```
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```

How to generate $\text{fold}_{\text{strd}}$?

Matching Trees

Step 1:

Matching
Module

$\text{strd}(s1, s2, \delta) \triangleq$

$s1 \mapsto c1 \uplus s2 \mapsto c2 \uplus (c1 = '\0' \vee c2 = '\0' \vee c1 \neq c2) \uplus \delta = c1 - c2$

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How to “bring” predicate close to executable code? **Matching Trees**

Matching Trees

Step 1:

Matching
Module

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Key idea: Learn new bindings from what we already know

- a) Learn $c1, c2$ from $s1, s2$
- b) Branch on $c1 = '\0' \vee c2 = '\0' \vee c1 \neq c2$
- c) Learn δ in each of the branches

Matching Trees

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Matching
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$s1 \mapsto c1$
|
 $s2 \mapsto c2$
|
?

Matching Trees

Step 1:

Matching
Module

$$\text{strd}(s1, s2, \delta) \triangleq$$

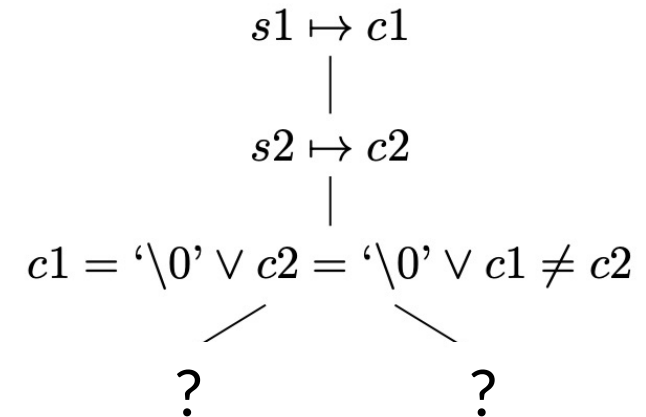
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Matching Trees

Step 1:

Matching
Module

$$\text{strd}(s1, s2, \delta) \triangleq$$

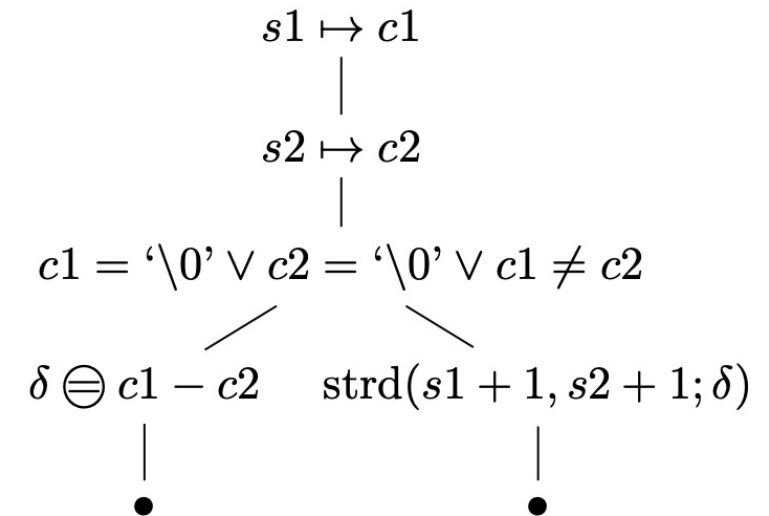
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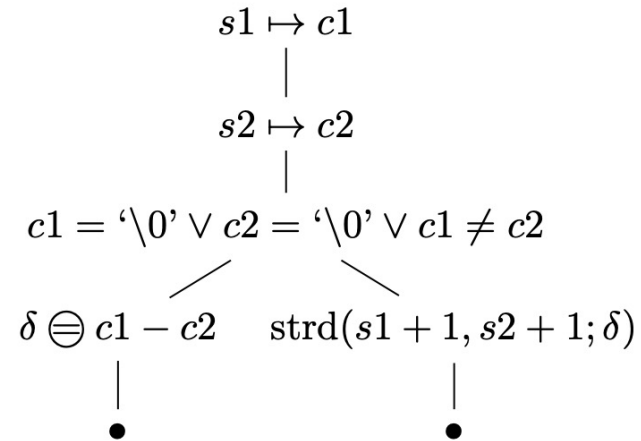
- Learn $c1, c2$ from $s1, s2$
- Branch on $c1 = '\0' \vee c2 = '\0' \vee c1 \neq c2$
- Learn δ in each of the branches



Generating the fold function

Step 2:

Summary
Generator



fn $fold_{\text{strd}}^{\text{EX}}(s1, s2) \{$

?

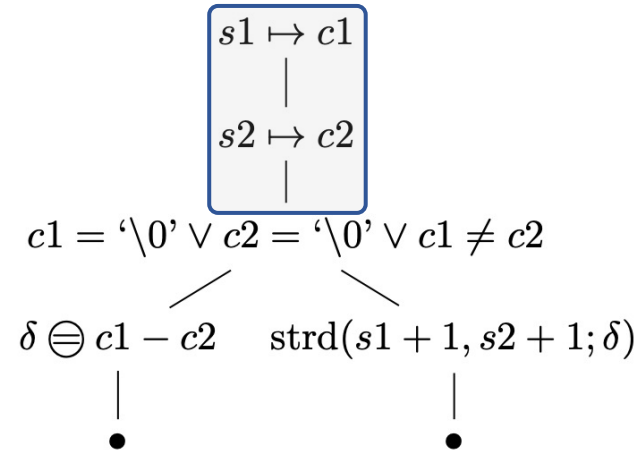
}

Generating the fold function

Step 2:

Summary
Generator

- $c1, c2$ reads translated directly



```
fn foldEXstrd(s1, s2) {  
  c1 ← *s1;  
  c2 ← *s2;
```

?

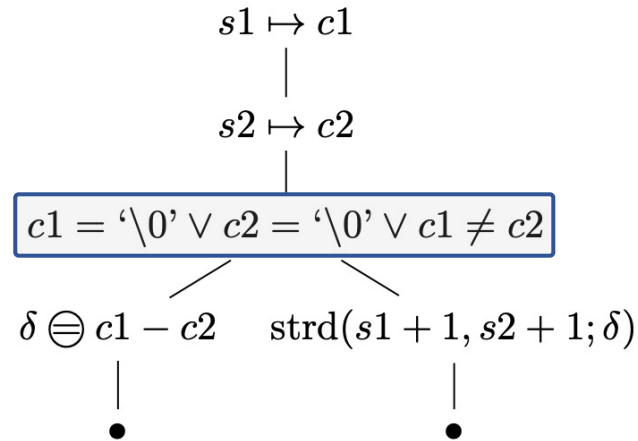
```
}
```

Generating the fold function

Step 2:

Summary
Generator

- $c1, c2$ reads translated directly
- On branch...



```
fn foldEXstrd(s1, s2) {  
  c1 ← *s1;  
  c2 ← *s2;  
  π ← c1 = '\0' ∨ c2 = '\0' ∨ c1 ≠ c2;
```

?

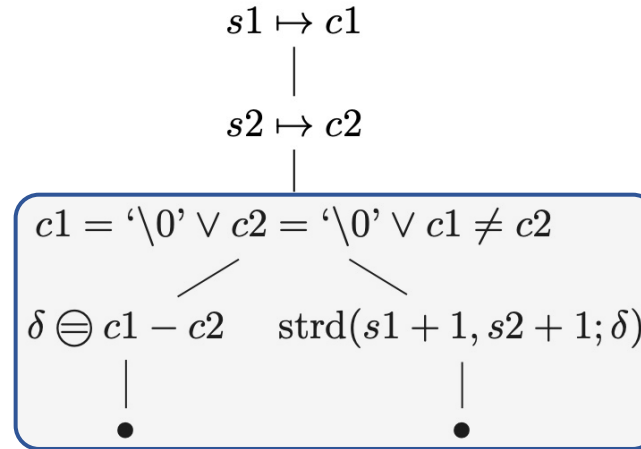
}

Generating the fold function

Step 2:

Summary Generator

- $c1, c2$ reads translated directly
- On branch, translate each case using $\text{isCertain}(e)$ ¹



```

fn foldEXstrd(s1, s2) {
  c1 ← *s1;
  c2 ← *s2;
  π ← c1 = '\0' ∨ c2 = '\0' ∨ c1 ≠ c2;
  if (isCertain(π)) { δ ← c1 - c2 }
  elif (isCertain(¬π)) {
    δ ← foldEXstrd(s1 + 1, s2 + 1)
  } else {
    ?
  };
  return δ
}

```

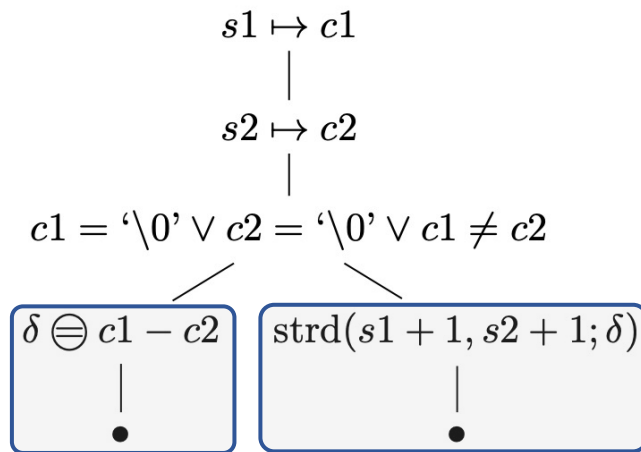
¹ $\text{isCertain}(e) \triangleq \neg \text{isSAT}(\neg e)$

Generating the fold function

Step 2:

Summary Generator

- $c1, c2$ reads translated directly
- On branch, translate each case using $\text{isCertain}(e)$ ¹
- If either possible, default to branch modeling both cases through scoped calls
 - Run function under extended path condition



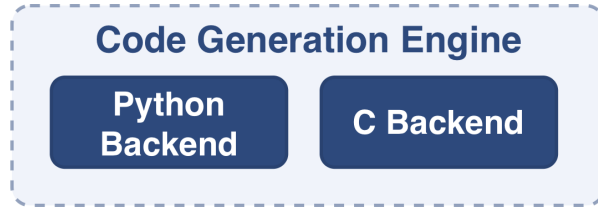
```

fn foldstrdEX(s1, s2) {
  c1 ← *s1;
  c2 ← *s2;
  π ← c1 = '\0' ∨ c2 = '\0' ∨ c1 ≠ c2;
  if (isCertain(π)) { δ ← c1 - c2 }
  elif (isCertain(¬π)) {
    δ ← foldstrdEX(s1 + 1, s2 + 1)
  } else {
    δ1 ← foldstrdEX(s1, s2) with π;
    δ2 ← foldstrdEX(s1, s2) with ¬π;
    δ ← ITE(π, δ1, δ2)
  };
  return δ
}
  
```

¹ $\text{isCertain}(e) \triangleq \neg \text{isSAT}(\neg e)$

Code generation for SE tools

Step 3:



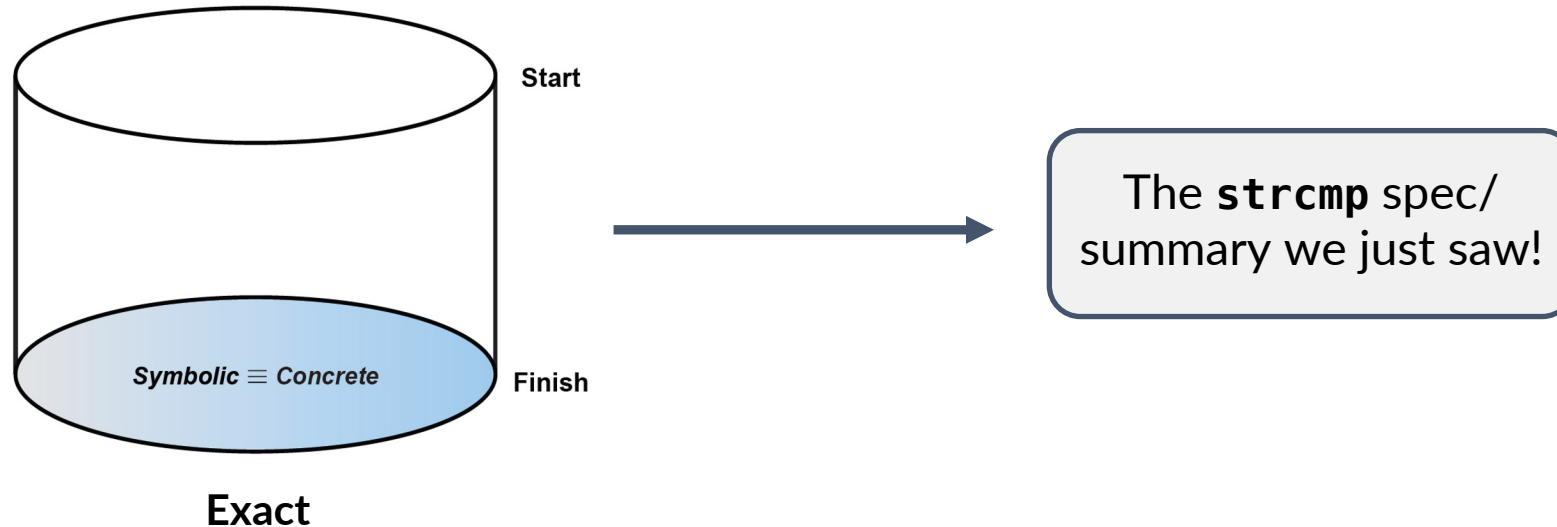
- **Pipeline so far:** generate summaries in an intermediate representation
 - Can transpile to whichever SE backend/language the developer wishes to support!
- Two backends supported out of the box
 - *angr*¹ summaries transpiled to Python
 - Ramos et al.'s² tool-independent summaries transpiled to C

¹ *angr*. *angr*. GitHub. <https://github.com/angr/angr>

² Ramos, F., Sabino, N., Adão, P., Naumann, D. A., & Fragoso Santos, J.: Toward Tool-Independent Summaries for Symbolic Execution. ECOOP '23 (2023)

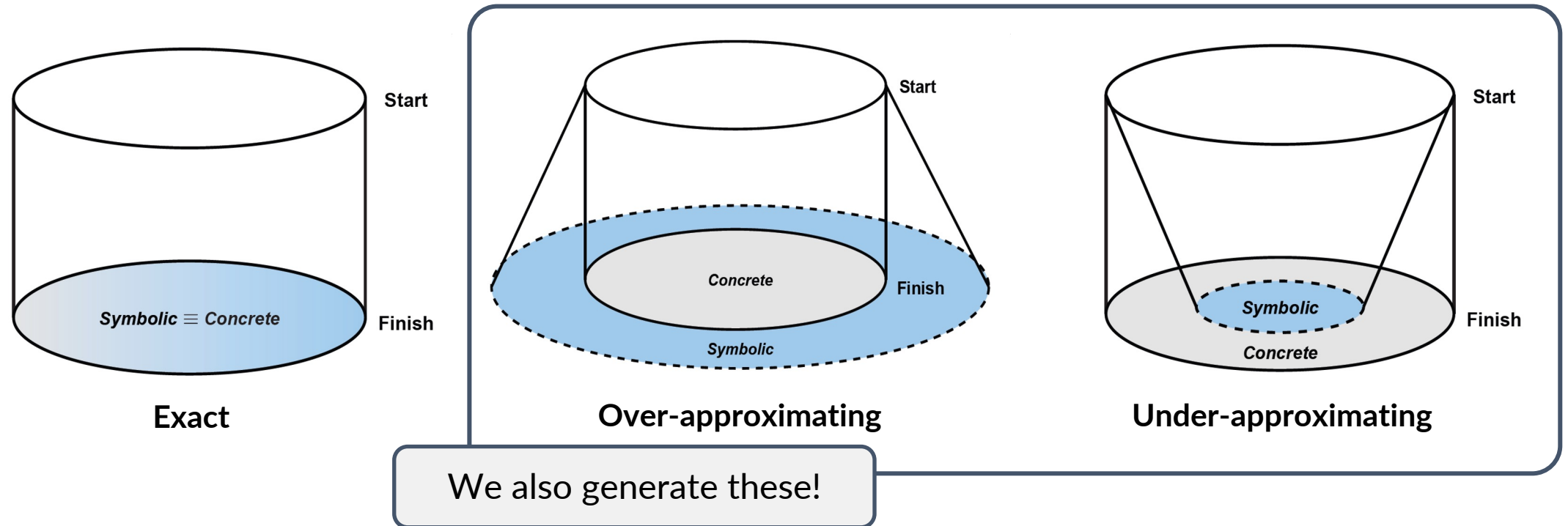
Summaries: Correctness Properties

- Summaries (and specs) can be:



Summaries: Correctness Properties

- Summaries (and specs) can be:



Summaries: Correctness

- Generated summaries are **correct-by-construction**

Summaries: Correctness

- Generated summaries are **correct-by-construction**

If I write an exact spec and I apply the summary generation procedure for exact summaries, then I will get back an exact summary

Theorem 1 (Correctness of Generated Summaries). *Let $\beta \in \{\text{EX}, \text{OX}, \text{UX}\}$ and \mathcal{S}^β be the procedure that generates a summary. Suppose that:*

$$\mathcal{S}^\beta(\{P\} \text{fn } f(\bar{x}) \{Q; y; \pi\}) = \text{fn } f(\bar{x}) \{s\}$$

and $\{P\} \text{fn } f(\bar{x}) \{Q; y; \pi\}$ is a β -specification. Then, $\text{fn } f(\bar{x}) \{s\}$ is a β -summary.

Also for under-approximating, over-approximating

Evaluation

- Generated 131 summaries for 47 LIBC functions:
 - 42 exact, 47 over-approximating, 42 under-approximating
- Three main questions:
 - Are the generated summaries correct? (EQ1)
 - Are specs easier to write than summaries? (EQ2)
 - How well do the generated summaries perform? (EQ3)
- Experimental setup:
 - Ubuntu 18.04.5 LTS with Intel Xeon E5-2620 CPU and 32 GB of RAM
 - **Baseline:** *angr*, Ramos et al.'s tool-independent summaries

Evaluation

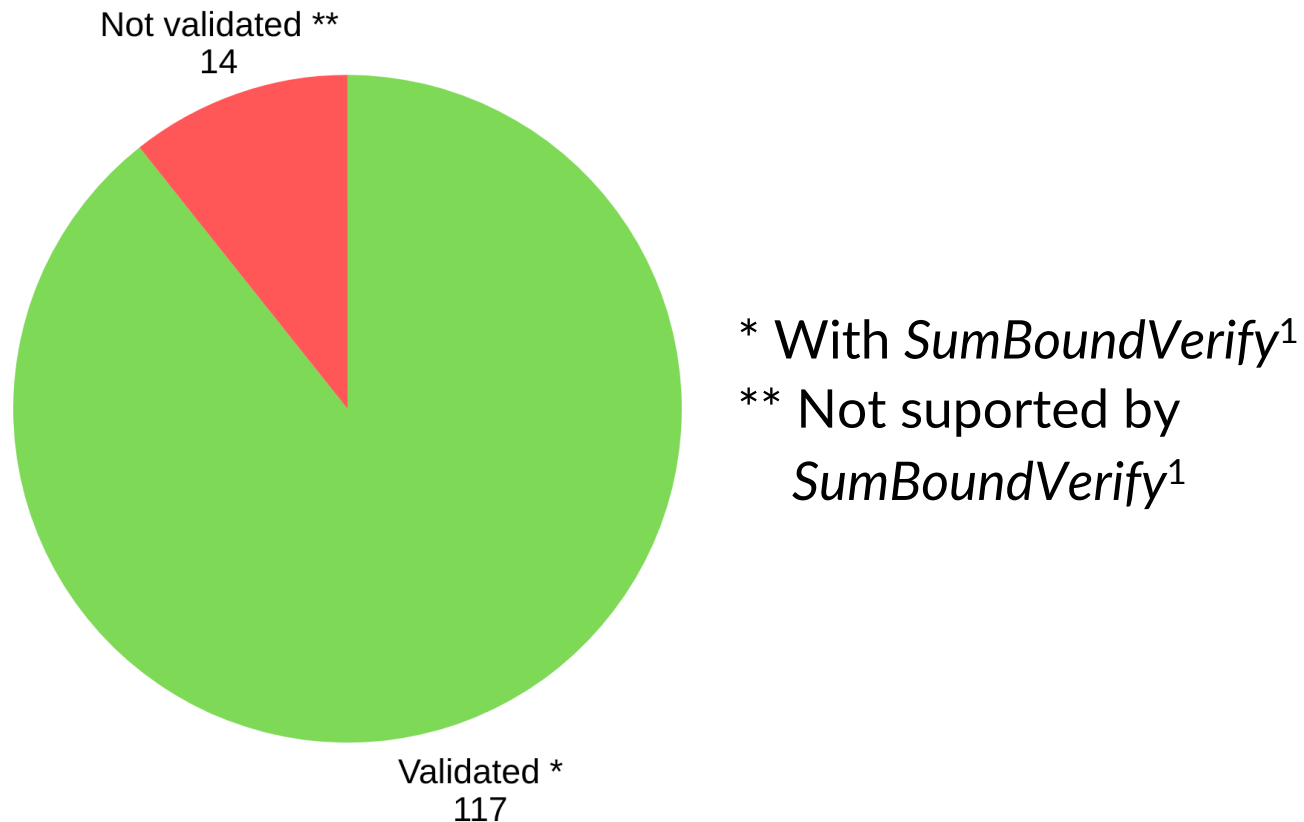
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EQ1: Summary Correctness

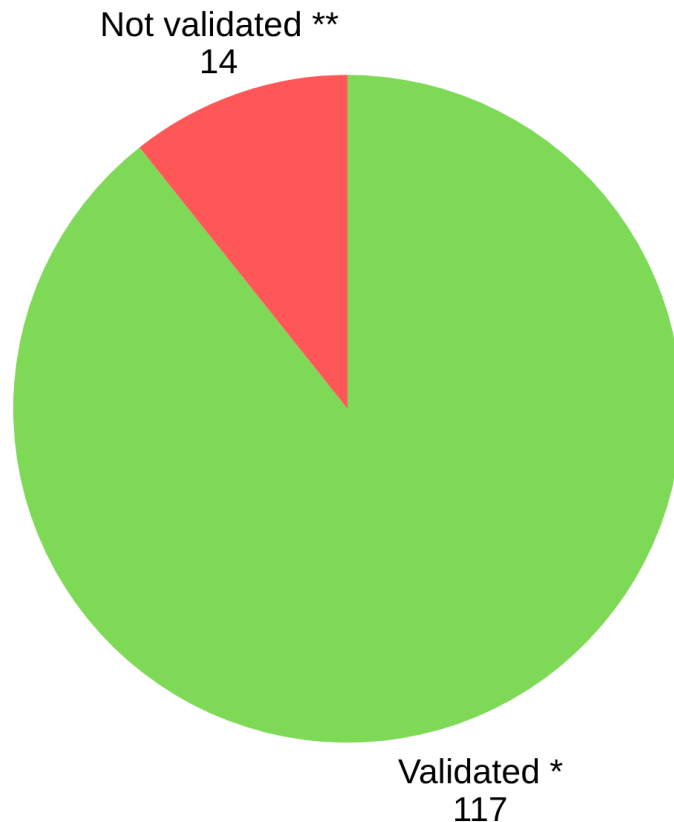
Summaries (All)



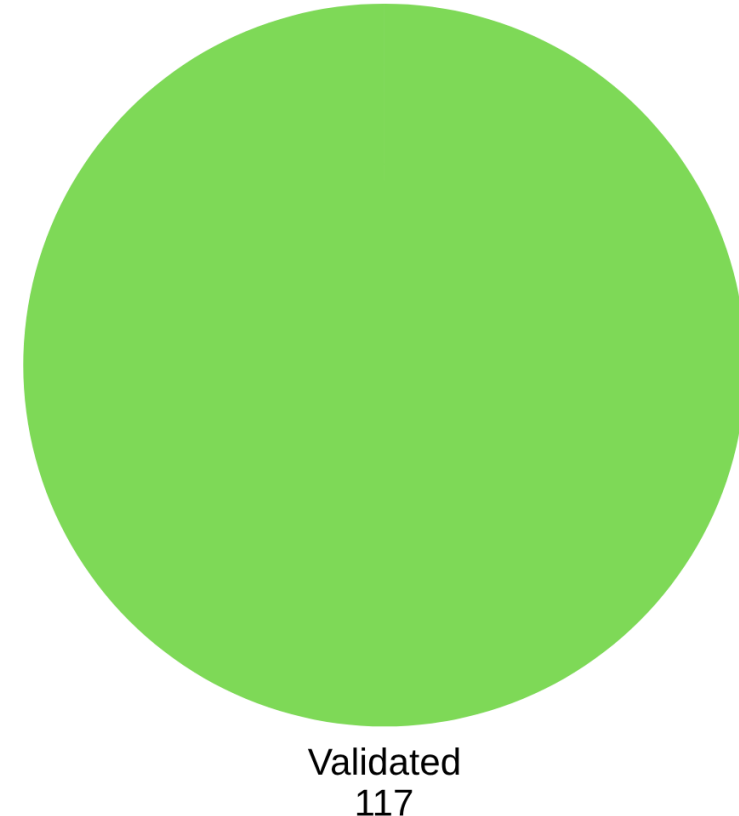
¹Ramos, F., Sabino, N., Adão, P., Naumann, D. A., & Fragoso Santos, J.: Toward Tool-Independent Summaries for Symbolic Execution. ECOOP '23 (2023)

EQ1: Summary Correctness

Summaries (All)



Summaries (SumBoundVerify)



* With *SumBoundVerify*¹
** Not supported by
*SumBoundVerify*¹

¹Ramos, F., Sabino, N., Adão, P., Naumann, D. A., & Fragoso Santos, J.: Toward Tool-Independent Summaries for Symbolic Execution. ECOOP '23 (2023)

EQ1: Summary Correctness

Summaries (All)



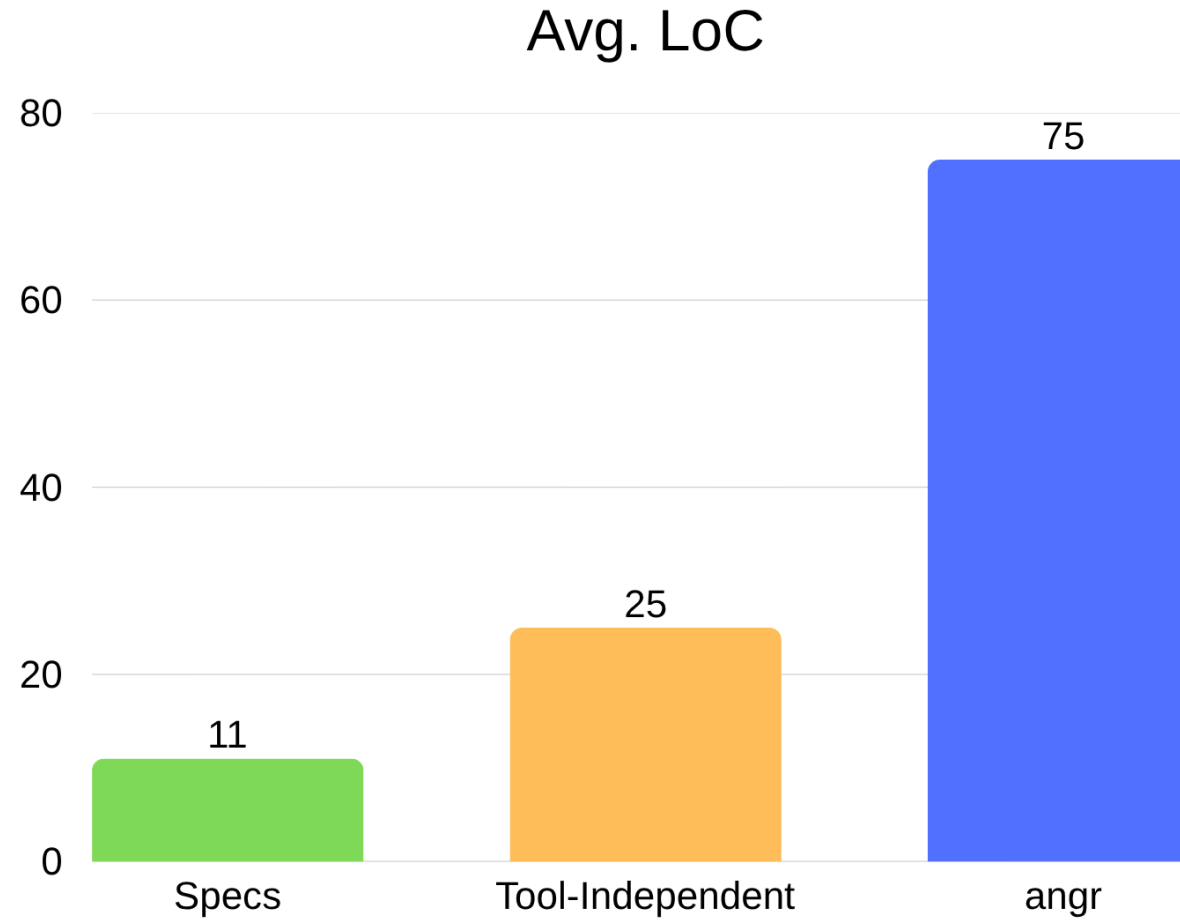
Summaries (SumBoundVerify)



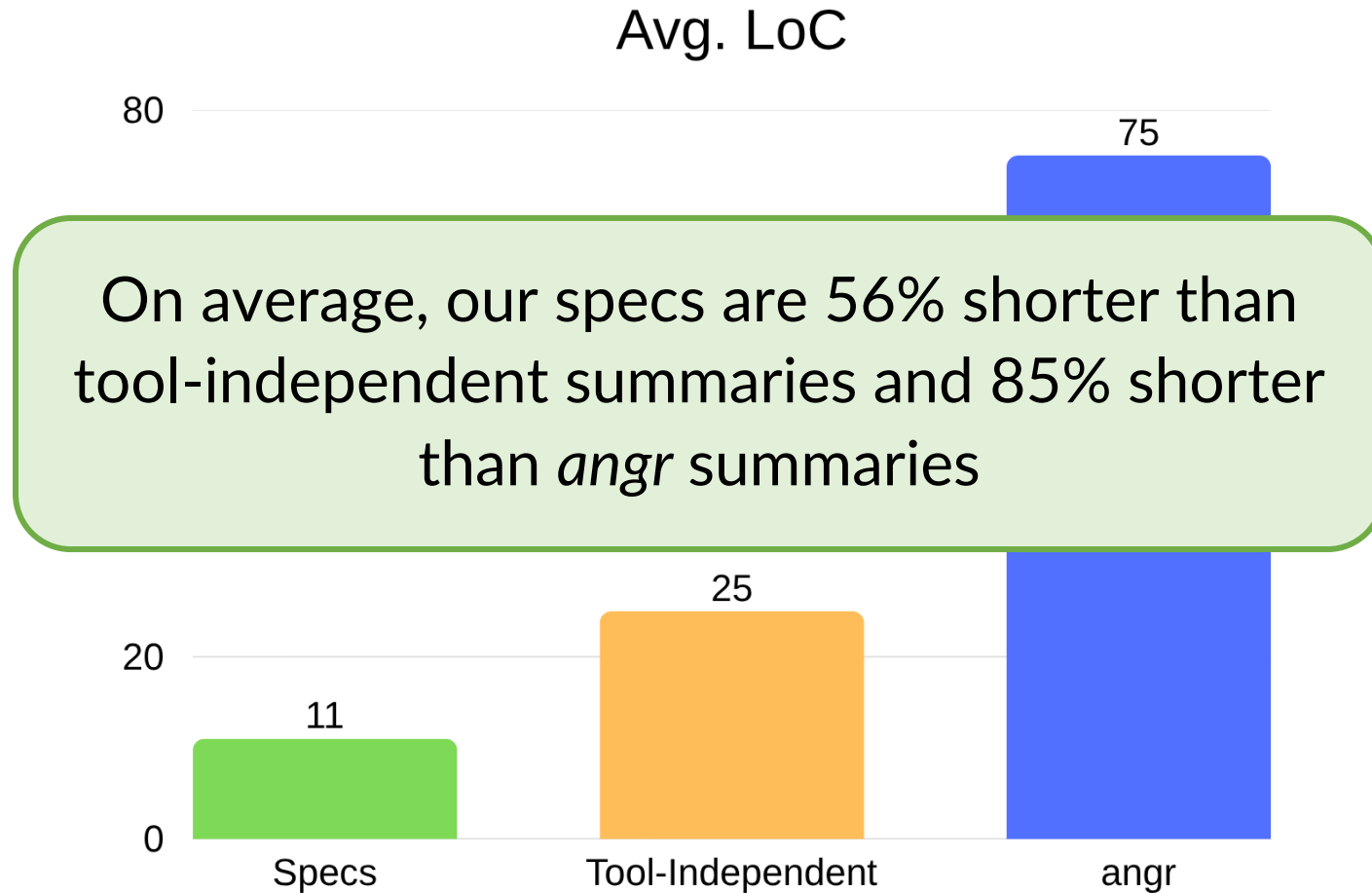
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EQ2: Summary Complexity

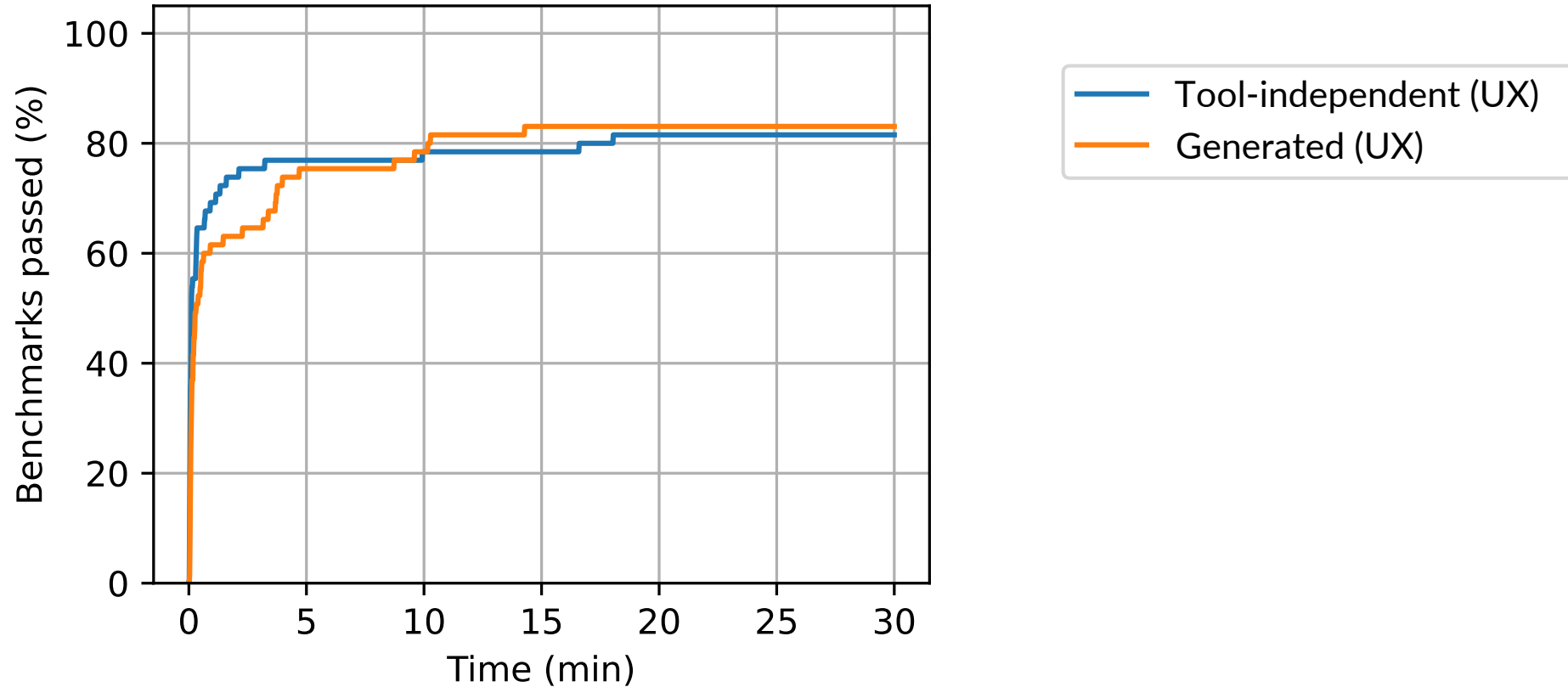


EQ2: Summary Complexity



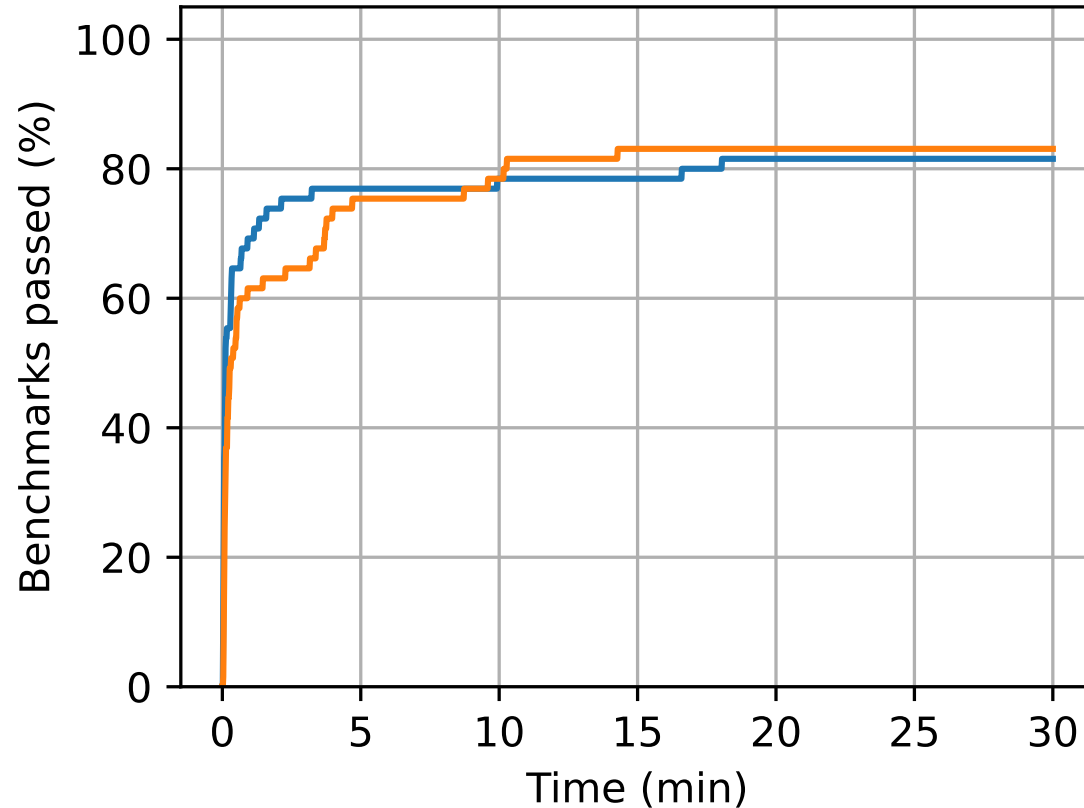
EQ3: Summary Performance

Benchmarks passed (/time)



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Benchmarks passed (/time)

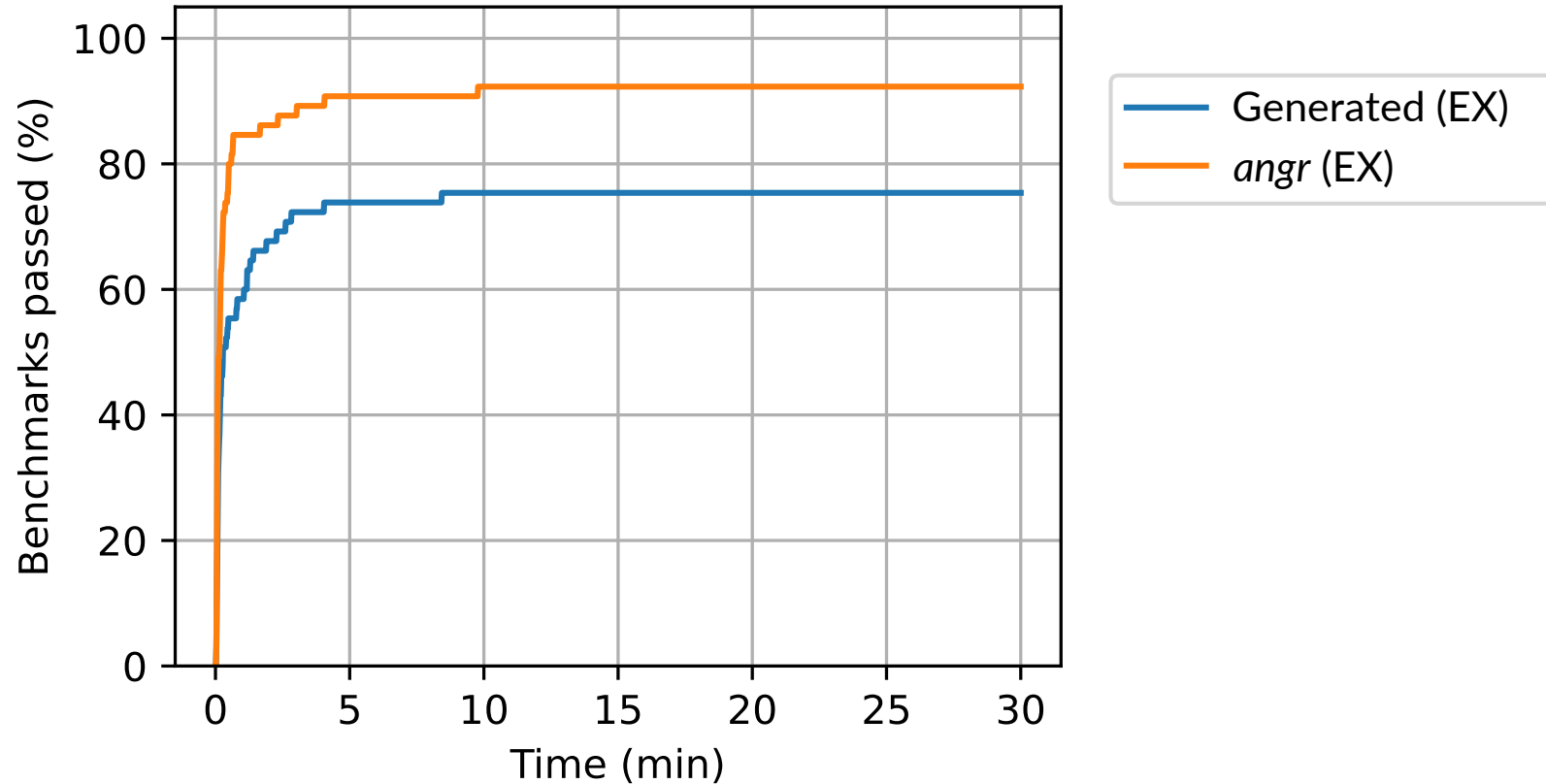


— Tool-independent (UX)
— Generated (UX)

Generated summaries (slightly)
overperform the
tool-independent baseline

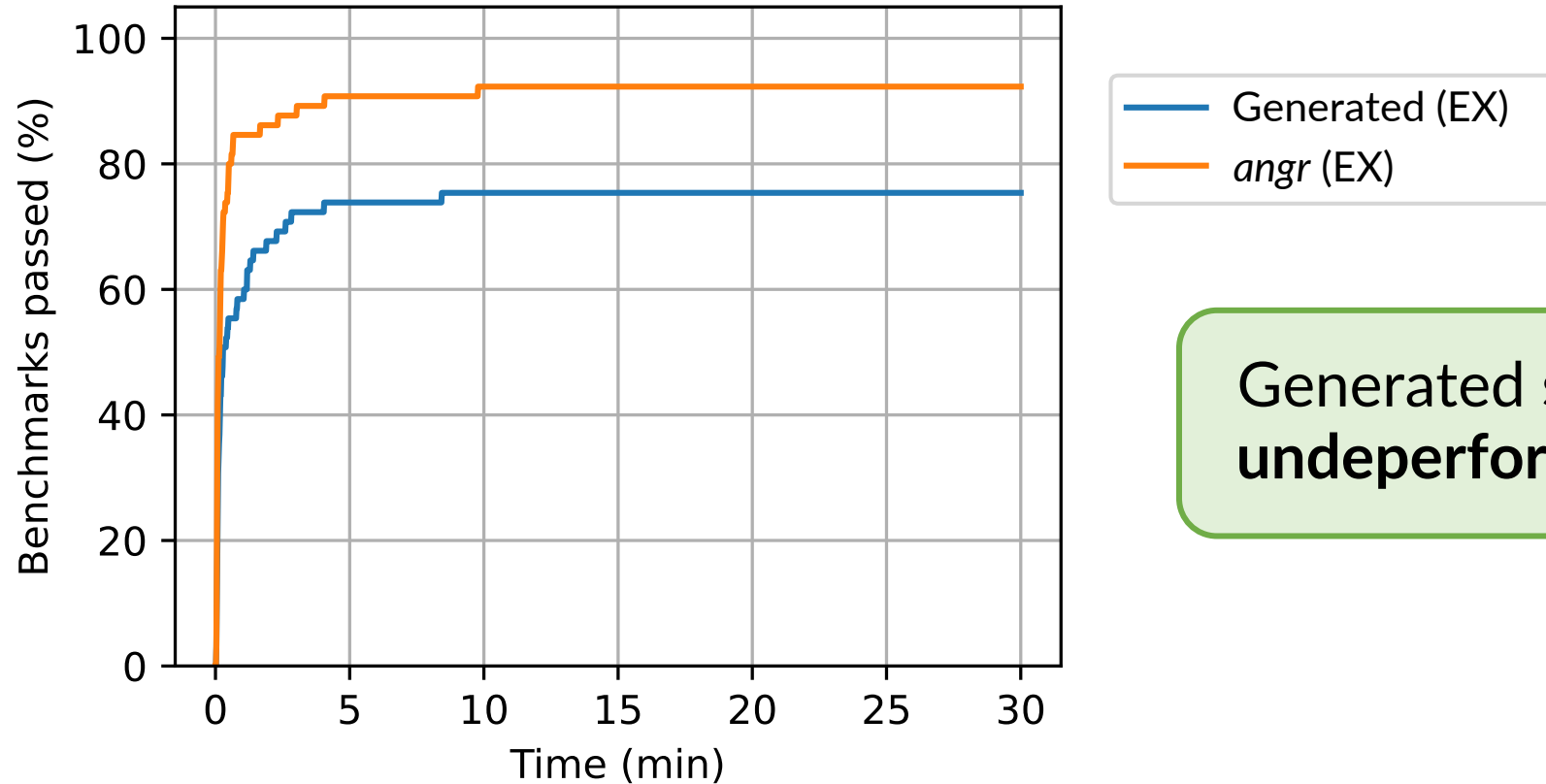
EQ3: Summary Performance

Benchmarks passed (/time)



EQ3: Summary Performance

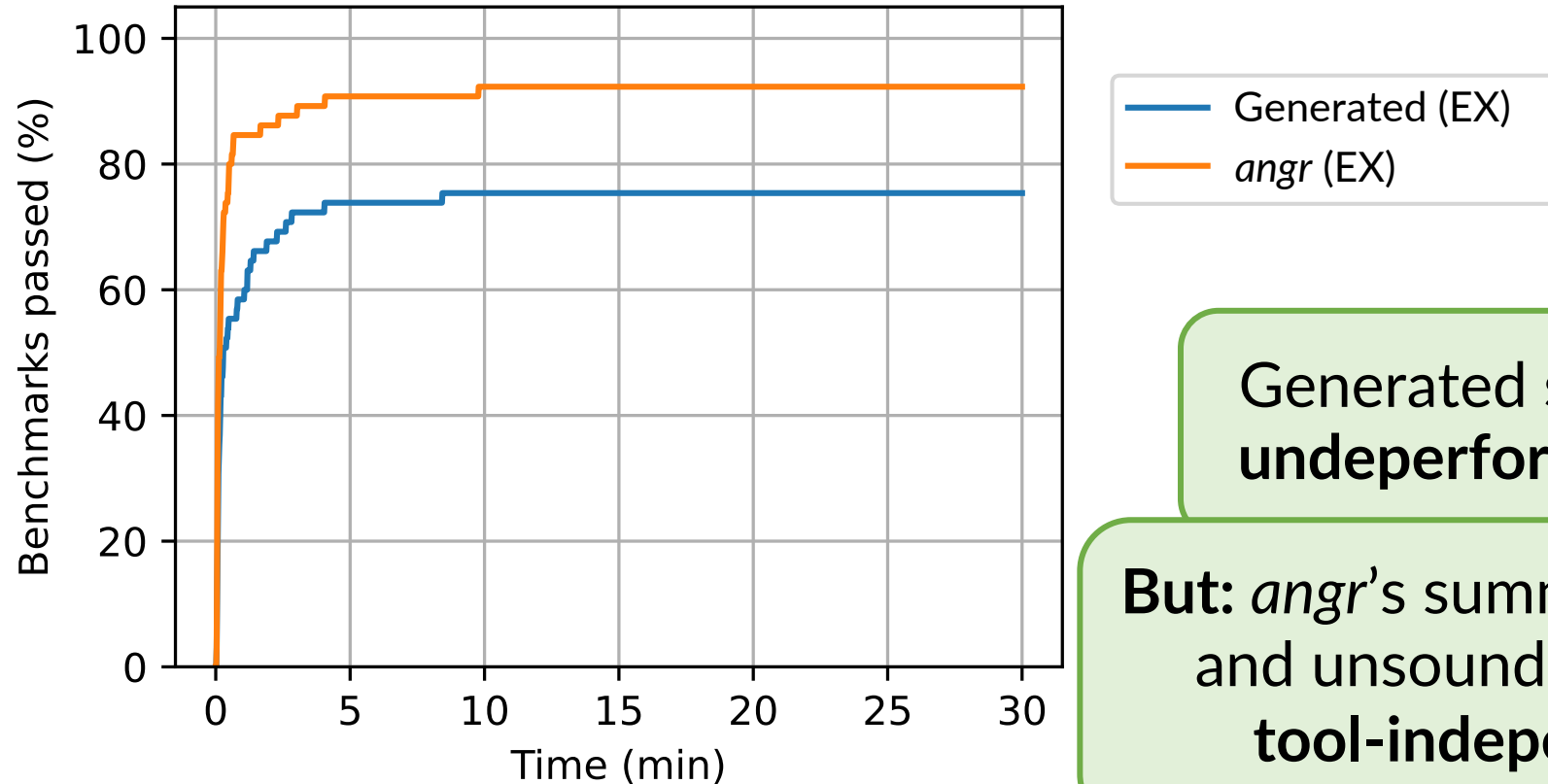
Benchmarks passed (/time)



Generated summaries (slightly)
underperform the *angr* baseline

EQ3: Summary Performance

Benchmarks passed (/time)



Generated summaries (slightly) **underperform** the *anqr* baseline





But: *anqr*'s summaries are tool-specific and unsound. Our summaries are **tool-independent and sound**

More in the paper

- **SumGen**: our tool for summary generation
- **Under- and over-approximating** summary generation procedures
- **Handling heap mutation**
- **Soundness guarantees**
- **More...**



Specification-Driven Generation of Summaries for Symbolic Execution

Rafael Gonçalves^{1,2,3} , Frederico Ramos^{2,3} , Pedro Adão^{2,4} ,
and José Frago Santos^{2,3} 

¹Carnegie Mellon University, Pittsburgh, PA, USA
rgoncalv@andrew.cmu.edu

²Instituto Superior Técnico, Universidade de Lisboa, Lisbon, Portugal
{frederico.ramos, pedro.adao, jose.fragoso}@tecnico.ulisboa.pt

³INESC-ID, Lisbon, Portugal

⁴Instituto de Telecomunicações, Aveiro, Portugal

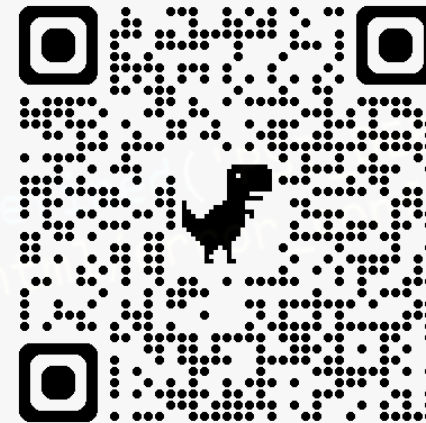
Abstract. Symbolic execution is a popular program analysis technique that has been successfully used for bug-finding and bounded verification in various modern programming languages. Despite its popularity, however, symbolic execution suffers from two main limitations when applied to real-world code: interactions with the runtime environment and path explosion. Symbolic summaries are the standard solution to tackle these challenges. Yet, the development of summaries remains to this day a manual task that is known to be highly error-prone. To address this, we propose SUMGEN, a new tool for automatically generating correct-by-construction summaries from function specifications. With SUMGEN, we were able to generate a total of 131 summaries for 47 LIBC functions, demonstrating the effectiveness of our methodology in producing correct summaries for real-world, highly complex code.

Keywords: Symbolic Execution, Symbolic Summaries, Summary Generation, Separation Logic.

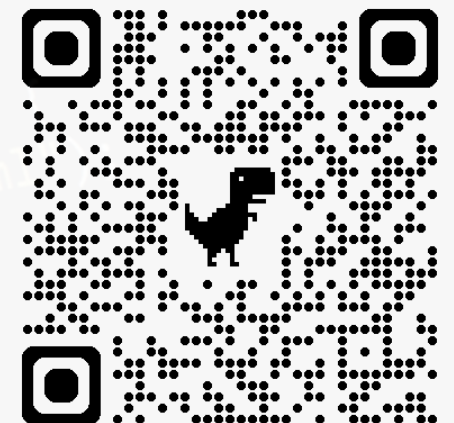
Specification-Driven Generation of Summaries for Symbolic Execution



- **Summaries** mitigate the impact of interactions with the runtime environment and **path explosion**
 - But are difficult to (manually) produce and **prone to bugs**
- Proposed **SumGen**, a new methodology/tool for automatically generating correct-by-construction summaries from function specs
- **SumGen** is open-source and available online



Link to paper



Link to SumGen